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MAR 26 2008

**PCT LEGAL
ADMINISTRATION**

Date: March 26, 2008

To: Office of PCT Legal Administration – Derek A. Putonen
United States Patent & Trademark Office

Fax No.: 571-273-8300 and 571-273-0459

From: Martha Rocha for Raymond M. Galasso

Re: RENEWED PETITION UNDER 37 CFR 1.47(a) and Petition Under 37 CFR 1.181
In re Application of Stockton, et al.
U.S. Application No.: 10/581,987
PCT No.: PCT/US04/41597

Number of Pages (including Cover Sheet): 17

Message:

Dear Mr. Putonen - We are in receipt of the Decision on Renewed Petition Under 37 CFR 1.47(a) mailed March 14, 2008. Applicant includes at this time a signed statement from counsel certifying that the papers submitted on August 31, 2007 and papers submitted on February 28, 2008 represent a true and complete copy of the papers originally filed on August 31, 2007. Applicant has provided a timely response to the decision mailed July 2, 2007 as shown by the attached documents.

Thank you.

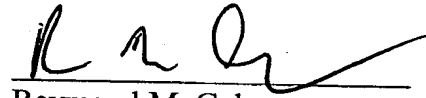
CONFIDENTIALITY NOTE

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STATEMENT OF RAYMOND M. GALASSO

I, Raymond M. Galasso of Galasso & Associates L.P., Austin, Texas, am a U.S. Citizen and I hereby make this statement of my own personal knowledge or on information and belief where so stated. If called as a witness, I could and would competently testify to the truth of the matters asserted herein.

On August 31, 2007, papers including a Renewed Petition under 37 CFR 1.47(a) and an original executed Declaration and Power of Attorney were filed with the United States Patent and Trademark Office with regards to US National Patent Application Serial No. 10/581,987 (PCT/US04/41597). On February 28, 2008, a true and complete copy of the papers originally filed on August 31, 2007 were submitted to the United States Patent and Trademark Office to be entered into the Office's records. I hereby certify and declare under penalty of perjury that the foregoing is true and correct. Executed at Austin, Texas, on this 26th day of March, 2008.


Raymond M. Galasso
Reg. No. 37,832

14 MAR 2008



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

Raymond M. Galasso
Galasso & Associates, LP
P. O. Box 26503
Austin, TX 78755-0503

In re Application of
STOCKTON, et al.
U.S. Application No.: 10/581,987
PCT No.: PCT/US04/41597
Int. Filing Date: 13 December 2004
Priority Date: 12 December 2003
Attorney Docket No.: 1709.030002
For: METHOD AND SYSTEM CONFIGURED FOR
FACILITATING MANAGEMENT OF INTER-
NATIONAL TRADE RECEIVABLES
TRANSACTIONS

DECISION ON RENEWED

PETITION UNDER

37 CFR 1.47(a)

This decision is in response to the applicant's filing of 28 February 2008 in the United States Patent and Trademark Office (USPTO). The filing includes a copy of a renewed petition under 37 CFR 1.47(a) purportedly originally filed on 31 August 2007.

BACKGROUND

On 02 July 2007, applicant was mailed a decision dismissing applicant's petition under 37 CFR 1.47(a). Applicant was afforded two months to file any request for consideration.

On 28 February 2008, applicant filed the present petition.

DISCUSSION

As detailed in the decision mailed 02 July 2007, a petition under 37 CFR 1.47(a) must be accompanied by (1) the fee under 37 CFR 1.17(g), (2) factual proof that the missing joint inventor refuses to execute the application or cannot be reached after diligent effort, (3) a statement of the last known address of the missing inventor, and (4) an oath or declaration by each 37 CFR 1.47(a) applicant on his or her own behalf and on behalf of the non-signing joint inventor. Applicant previously satisfied items 1-3 above. Applicant has presently provided a compliant declaration satisfying the remaining item. However, the renewed petition cannot be granted at this time as there is a question as to the timeliness of the response. Applicant's present claim that a response was originally filed on 31 August 2007 is not signed by a registered attorney or patent agent. In addition, while applicant has included a stamped return postcard showing a USPTO receipt date of 31 August 2007, applicant has not included a signed statement from counsel certifying that the papers submitted on 28 February 2008 represent a true and

Application No.: 10/581,987

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complete copy of the papers originally filed on 31 August 2007. Pending resolution of these issues to assure the timeliness of the reply, it is not possible to grant applicant's renewed petition under 37 CFR 1.47(a) as the application is technically abandoned for failure to provide a timely response to the decision mailed 02 July 2007.

CONCLUSION

For the reasons discussed above, a decision on applicant's renewed petition under 37 CFR 1.47(a) is being held in abeyance pending the filing of a grantable petition under 37 CFR 1.181.

Any reconsideration on the merits of this petition must be filed within **TWO (2) MONTHS** from the mail date of this decision. Any reconsideration request should include a cover letter entitled "Renewed Petition Under 37 CFR 1.47(a) and Petition Under 37 CFR 1.181."

Any further correspondence with respect to this matter should be directed to Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration, P.O. Box 1450, Alexandria, Virginia 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.



Derek A. Putonen
Attorney Advisor
Office of PCT Legal Administration
Tel: (571) 272-3294
Fax: (571) 273-0459

8/31/2007

1708 2002

RMG/mr

08/31/2007

1708.030002

RMG/mr

Date Mailed

In re U.S. National patent application of: Michael Stockton et al.

For: Method And System Configured For Facilitating Management Of International Trade
Receivables Transactions

Patent Application Serial No: 10/581,987

Filed: June 7, 2006

I.A.: PCT/US04/41597

I.A. Filing Date: December 13, 2004

DOCKETED

Date: 8/31/07

By: [Signature]

Receipt is hereby acknowledged in the USPTO of the following in connection with the above referenced National patent application on the date stamped thereon:

- 1) Transmittal letter;
- 2) Renewed Petition under 37 CFR 1.47(a) (2pp);
- 3) Original executed Declaration and Power of Attorney (7pp)
- 4) Copy of Decision on Petition mailed July 2, 2007 (2pp); and
- 5) Confirmation Postcard. Please stamp date and return.

Express Mail No. EV656219102US

IAP6 Rec'd PCT/PTO 31 AUG 2007

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Date Accepted 8/31/07	Scheduled Date of Delivery Month 9 Day 1	Return Receipt Fee \$	COD Fee \$	Delivery Attempt Mo. 8 Day 31	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature		
Mo. 8 Day 31 Year 07	Scheduled Time of Delivery <input checked="" type="checkbox"/> Noon <input type="checkbox"/> 3 PM	Insurance Fee \$	Total Postage & Fees \$ 16.24	Mo. 8 Day 31	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature		
Time Accepted 4:38 PM	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day <input type="checkbox"/>	Int'l Alpha Country Code	Acceptance Emp. Initials [Signature]	Signature of Addressee [Signature]				
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FROM: (PLEASE PRINT) GALASSO & ASSOCIATES LLP PO BOX 26503 AUSTIN TX 78755-0503 1708.030002 US				TO: (PLEASE PRINT) Mail Stop PCT Commissioner for Patents Office of PCT Legal Administration P.O. Box 1450 Alexandria, Virginia 22313-1450				

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4637 1000 F:02 T:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Application of
Michael Stockton et al.

: NATIONAL PATENT APPLICATION

U.S. Serial No. 10/581,987

: I.A. Filing Date: December 13, 2004

: Priority Date: December 12, 2003

International Application No.
PCT/US04/41597

: Group Art Unit: Not yet assigned

: Examiner: Not yet assigned

For: Method and System Configured For Facilitating Management of International
Trade Receivables Transactions

Attention: Office of PCT Legal Administration
Mail Stop PCT
Commissioner for Patents
Office of PCT Legal Administration
P.O. Box 1450
Alexandria, Virginia 22313-1450

CERTIFICATE OF MAILING by EXPRESS MAIL

(37 C.F.R. 51.10)

"EXPRESS MAIL" Mailing Label No. EV 656219102US

Date of Deposit: August 31, 2007

I hereby certify that this paper or fee is being deposited with
the U. S. Postal Service "Express Mail Post Office to
Addressee" service under 37 CFR 1.10 on the date indicated
above and is addressed to:

Attention: Office of PCT Legal Administration
Mail Stop PCT
Commissioner for Patents
Office of PCT Legal Administration
P.O. Box 1450
Alexandria, Virginia 22313-1450

Type or Print Name Martha Rocha

Signature

Attention: Office of PCT Legal Administration

TRANSMITTAL LETTER

Transmitted herewith for filing in the above referenced matter are the following items:

1. Renewed Petition Under 37 CFR 1.47(a) (2pp);
2. Original executed Declaration and Power of Attorney (7pp);
3. Copy of Decision on Petition mailed July 2, 2007 (2pp); and
4. Confirmation postcard. Please file stamp and return.

No fee is due. However, if Applicant is in error, the Commissioner is hereby
authorized to charge the fees associated with this communication to Galasso &
Associates Deposit account 50-3446 under Reference Number 1708.030002.

Respectfully submitted,

By: 

Raymond M. Galasso, Reg. No. 37,832

Galasso & Associates, LP
P.O. Box 26503
Austin, Texas 78755-0503
Telephone: (512) 306-8533
Facsimile: (512) 306-8559

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Application of Michael Stockton et al.	: NATIONAL PATENT APPLICATION
	: I.A. Filing Date: December 13, 2004
	: Priority Date: December 12, 2003
U.S. Serial No. 10/581,987	: Group Art Unit: Not yet assigned
International Application No. PCT/US04/41597	: Examiner: Not yet assigned
For: Method and System Configured For Facilitating Management of International Trade Receivables Transactions	

Attention: Office of PCT Legal Administration
Mail Stop PCT
Commissioner for Patents
Office of PCT Legal Administration
P.O. Box 1450
Alexandria, Virginia 22313-1450

CERTIFICATE OF MAILING by EXPRESS MAIL
(37 C.F.R. §1.10)

"EXPRESS MAIL" Mailing Label No. EV 656219102US
Date of Deposit: August 31, 2007
I hereby certify that this paper or fee is being deposited with
the U. S. Postal Service "Express Mail Post Office to
Addressee" service under 37 CFR 1.10 on the date indicated
above and is addressed to:
Attention: Office of PCT Legal Administration
Mail Stop PCT
Commissioner for Patents
Office of PCT Legal Administration
P.O. Box 1450
Alexandria, Virginia 22313-1450

Type or Print Name Martha Rocha

Signature Martha Rocha

Dear Sir or Madam:

RENEWED PETITION UNDER 37 CFR 1.47(a)

In response to the Decision on Petition mailed July 2, 2007, Applicant submits this Renewed Petition for reconsideration and respectfully request that the Office accept applicant's Renewed Petition to accept the present national stage application without the signature of joint inventor Will Ballard filed in the United States Patent and Trademark Office (USPTO).

In the Decision on Petition mailed July 2, 2007, the Office states" *As detailed in the decision mailed 23 April 2007, a petition under 37 CFR 1.47(a) must be accompanied by (1) the fee under 37 CFR 1.17(g), (2) factual proof that the missing joint inventor refuses to execute the application or cannot be reached after diligent effort, (3) a statement of the last known address of the missing inventor, and (4) an oath or declaration by each 37 CFR 1.47(a) applicant on his or her own behalf and on behalf of*

the non-signing joint inventor. Applicant previously satisfied items (1) and (3).

As to item (2), applicant has now filed evidence to show that Mr. Ballard was presented with a complete set of application papers and to date he has not responded with an executed oath or declaration. This period of non-response constitutes a refusal under 37 CFR 1.47. Thus applicant has satisfied this item.

Regarding item (4), while applicant has presently submitted a declaration executed by the remaining inventors on their own behalf, as well as, on the behalf of Mr. Ballard, the filed declaration does not comply with 37 CFR 1.497(a)(3) in that it does not provide at least the citizenship of Mr. Ballard."

Applicant hereby submits a new executed declaration that complies with 37 CFR 1.497(a)(3) executed by the other inventors on their own behalf and on behalf of the non-signing inventor (Will Ballard). This new executed declaration provides the citizenship of Mr. Ballard.

Applicant believes the relevant documents are now in order to complete the requirements for acceptance. Applicant respectfully requests that the Office accept the Renewed Petition so that the present national stage application is accepted without the signature of joint inventor Will Ballard.

No additional Petition fee is required as indicated on said Decision on Petition mailed July 2, 2007. However, if Applicant is in error, the Commissioner is hereby authorized to charge the fees associated with this communication to Galasso & Associates Deposit account 50-3446 under Reference Number 1708.030002.

Respectfully submitted,

By: 
Raymond M. Galasso, Reg. No. 37,832

Galasso & Associates, LP
P.O. Box 26503
Austin, Texas 78755-0503
Telephone: (512) 306-8533
Facsimile: (512) 306-8559

PATENT APPLICATION

**RULES 63 AND 67 (37 C.F.R. 1.63 and 1.67)
DECLARATION AND POWER OF ATTORNEY**

FOR UTILITY/DESIGN/CIP/PCT NATIONAL APPLICATIONS

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; and

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **METHOD AND SYSTEM CONFIGURED FOR FACILITATING MANAGEMENT OF INTERNATIONAL TRADE RECEIVABLES TRANSACTIONS** the specification of which: (mark only one)

- _____ (a) is attached hereto.
- _____ (b) was filed on _____ as Application Serial No. _____ and was amended on _____ (if applicable)
- _____ (c) was filed as PCT International Application No. PCT/_____ on _____ and was amended on _____ (if applicable).
- _____ (d) was filed on _____ as Application Serial No. _____ and was issued a Notice of Allowance on _____.
- X (e) was filed on June 7, 2006 and bearing attorney docket number 1708.030002 as Application Serial No. 10/581,987

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above or as allowed as indicated above.

I acknowledge the duty to disclose all information known to me to be material to the patentability of this application as defined in 37 CFR § 1.56. If this is a continuation-in-part (CIP) application, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability of the application as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this CIP application.

I hereby claim foreign priority benefits under 35 U.S.C. § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before

PATENT APPLICATION

that of the application on which my priority is claimed or, (2) if no priority is claimed, before the filing date of this application:

PRIOR FOREIGN PATENTS						
Number	Country	Month/Day/Year Filed	Date first laid-open or Published	Date patented or Granted	Priority Claimed	
					Yes	No

I hereby claim the benefit under 35 U.S.C. § 120/365 of any United States application(s) listed below and PCT international applications listed above or below:

PRIOR U.S. OR PCT APPLICATIONS

PCT/US2004/041597

Application No. (series code/serial no.) Month/Day/Year Filed Status(pending, abandoned, patented)

I hereby appoint Raymond M. Galasso, Reg. No. 37,832, as my attorney and/or agents, with full power of substitution and revocation, to prosecute this application, provisionals thereof, continuations, continuations-in-part, divisionals, appeals, reissues, substitutions, and extensions thereof and to transact all business in the United States Patent and Trademark Office connected therewith, to appoint any individuals under an associate power of attorney and to file and prosecute any international patent application filed thereon before any international authorities, and I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization who/which first sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct them in writing to the contrary.



Please address all correspondence and direct all telephone calls to:

Raymond M. Galasso
Galasso & Associates, LP
P.O. Box 26503
Austin, Texas 78755-0503
(512) 306-8533
(512) 306-8559

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

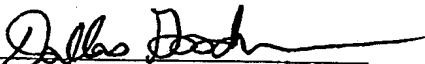
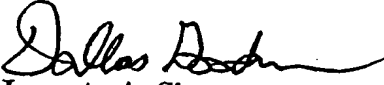
PATENT APPLICATION

NAMED INVENTOR(S)

1	Michael Stockton Full Name	 Inventor's Signature	8/30/07 Date
	6205 Mesa Grande Austin, Texas 78749 USA America Residence (city, state, country)		
	United States of Citizenship		
	Same as Residence Address Post Office Address (include zip code)		
2	Will Ballard Full Name of non-signing joint inventor	 Inventor's Signature on behalf of the non-signing joint inventor	8/30/07 Date
		Inventor's Signature on behalf of the non-signing joint inventor	Date
		Inventor's Signature on behalf of the non-signing joint inventor	Date
	America Residence (city, state, country)		
	United States of Citizenship		
	Same as Residence Address Post Office Address (include zip code)		
3	Dallas Goodman Full Name	Inventor's Signature	Date
	1005 3rd Street Abernathy, Texas 79311 USA America Residence (city, state, country)		
	United States of Citizenship		

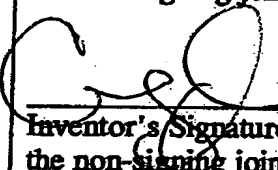
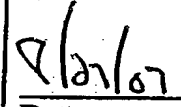
PATENT APPLICATION

NAMED INVENTOR(S)

	Michael Stockton Full Name	Inventor's Signature	Date
1	6205 Mesa Grande Austin, Texas 78749 USA America Residence (city, state, country) Citizenship Same as Residence Address Post Office Address (include zip code)		
2	Will Ballard Full Name of non-signing joint inventor	Inventor's Signature on behalf of the non-signing joint inventor  Inventor's Signature on behalf of the non-signing joint inventor Inventor's Signature on behalf of the non-signing joint inventor	Date 8-29-07 Date Date
	America United States of Residence (city, state, country) Citizenship Same as Residence Address Post Office Address (include zip code)		
	Dallas Goodman Full Name	 Inventor's Signature	8-29-07 Date


PATENT APPLICATION

NAMED INVENTOR(S)

1	Michael Stockton Full Name	Inventor's Signature	Date
	6205 Mesa Grande Austin, Texas 78749 USA America United States of Residence (city, state, country) Citizenship Same as Residence Address Post Office Address (include zip code)		
2	Will Ballard Full Name of non-signing joint inventor	Inventor's Signature on behalf of the non-signing joint inventor Inventor's Signature on behalf of the non-signing joint inventor  Inventor's Signature on behalf of the non-signing joint inventor	Date Date 
	America United States of Residence (city, state, country) Citizenship Same as Residence Address Post Office Address (include zip code)		
3	Dallas Goodman Full Name	Inventor's Signature	Date
	1005 3 rd Street Abernathy, Texas 79311 USA America United States of Residence (city, state, country) Citizenship		

PATENT APPLICATION

NAMED INVENTOR(S)

4	Greg Jones Full Name	 Inventor's Signature	8/27/07 Date
	10805 Chateau Hill Austin, Texas 78750 USA America		United States of
	Residence (city, state, country)		Citizenship
	Same as Residence Address Post Office Address (include zip code)		

PATENT APPLICATION

3	1005 3 rd Street Abernathy, Texas 79311 USA America	United States of
	Residence (city, state, country)	Citizenship
	Same as Residence Address	
	Post Office Address (include zip code)	

(FOR ADDITIONAL INVENTORS, check here ☒ and add additional sheet for inventor information regarding signature, name, date, citizenship, residence and address)



UNITED STATES PATENT AND TRADEMARK OFFICE

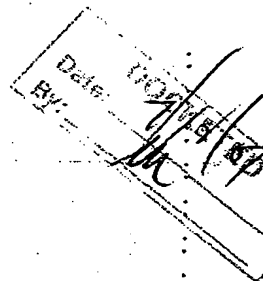
Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

02 JUL 2007

Raymond M. Galasso
Galasso & Associates, LP
P.O. Box 26503
Austin, TX 78755-0503

In re Application of
STOCKTON, et al.
U.S. Application No.: 10/581,987
PCT No.: PCT/US04/41597
Int. Filing Date: 13 December 2004
Priority Date: 12 December 2003
Attorney Docket No.: 1709.030002

For: METHOD AND SYSTEM CONFIGURED FOR
FACILITATING MANAGEMENT OF INTER-
NATIONAL TRADE RECEIVABLES
TRANSACTIONS



DECISION ON PETITION
UNDER 37 CFR 1.47(a)

This decision is in response to applicant's renewed petition to accept the present national stage application without the signature of joint inventor Wil Ballard filed 22 June 2007 in the United States Patent and Trademark Office (USPTO).

BACKGROUND

On 23 April 2007, applicant was mailed a decision dismissing applicant's petition under 37 CFR 1.47(a). Applicant was afforded two months to file any request for reconsideration.

On 22 June 2007, applicant filed the present renewed petition under 37 CFR 1.47.

DISCUSSION

As detailed in the decision mailed 23 April 2007, a petition under 37 CFR 1.47(a) must be accompanied by (1) the fee under 37 CFR 1.17(g), (2) factual proof that the missing joint inventor refuses to execute the application or cannot be reached after diligent effort, (3) a statement of the last known address of the missing inventor, and (4) an oath or declaration by each 37 CFR 1.47(a) applicant on his or her own behalf and on behalf of the non-signing joint inventor. Applicant previously satisfied items (1) and (3).

As to item (2), applicant has now filed evidence to show that Mr. Ballard was presented with a complete set of application papers and to date he has not responded with an executed oath or declaration. This period of non-response constitutes a refusal under 37 CFR 1.47. Thus applicant has satisfied this item.

Regarding item (4), while applicant has presently submitted a declaration executed by the remaining inventors on their own behalf, as well as, on the behalf of Mr. Ballard, the filed

Application No.: 10/581,987

2

declaration does not comply with 37 CFR 1.497(a)(3) in that it does not provide at least the citizenship of Mr. Ballard.

CONCLUSION

For the reasons stated above, applicant's renewed petition under 37 CFR 1.47(a) is **DISMISSED**.

Any reconsideration on the merits of this petition must be filed within **TWO (2) MONTHS** from the mail date of this decision. Any reconsideration request should include a cover letter entitled "Renewed Petition Under 37 CFR 1.47(a)." No additional petition fee is required. Extensions of time may be obtained under 37 CFR 1.136(a).

Any further correspondence with respect to this matter should be directed to Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration, P.O. Box 1450, Alexandria, Virginia 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.



Derek A. Putonen
Attorney Advisor
Office of PCT Legal Administration
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Fax: (571) 273-0459